

Exceedance modelling in the context of the data fusion mapping under ETC/ACM

Jan Horálek (CHMI)

*Peter de Smet, Frank de Leeuw (RIVM), Pavel Kurfürst,
Nina Benešová (CHMI), Philipp Schneider (NILU)*



1. Mapping methodology

Estimation of area in exceedance

Estimation of population living in exceedance areas

2. Influence of grid resolution

3. Case study: NO₂ improved mapping incl. traffic

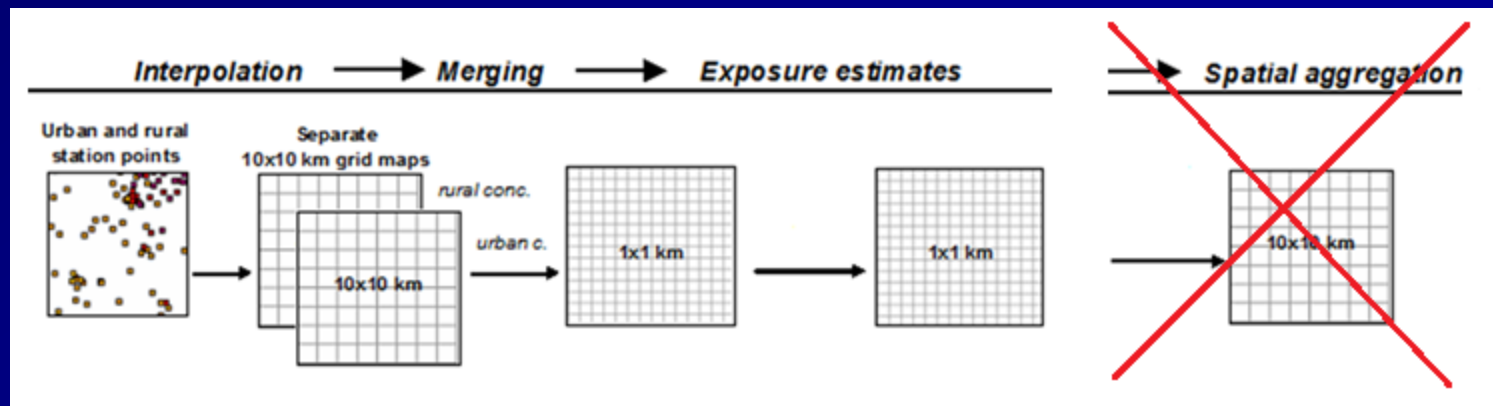
4. Conclusion and recommendation

Data fusion mapping methodology

Regression – Interpolation – Merging Mapping

Linear regression model of monitoring data (as a primarily source of information), CTM output and different other supplementary data followed by **interpolation of its residuals** by kriging (so-called residual kriging).

Rural and urban background map layers created separately (based on rural resp. urban/suburban background stations) and **merged** into the final maps using population density.



Annual air quality maps

Regular annual product:

**ETC/ACM Technical Paper
„European air quality maps“**

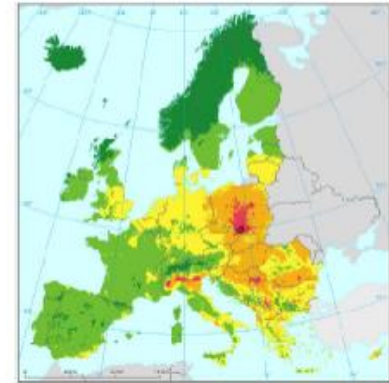
Concentration maps (PM_{10} , $PM_{2.5}$, O_3 , NO_2 , NO_x), probability of exceedance maps, exposure tables, uncertainty analysis, inter annual difference maps, trends.

Most recent: **ETC/ACM TP
2016/6**, maps and tables for 2014

<http://acm.eionet.europa.eu/reports/>

European air quality maps for 2014

$PM_{2.5}$, $PM_{2.5}$, Ozone, NO_2 and NO_x
spatial estimates and their uncertainties



ETC/ACM Technical Paper 2016/G
December 2016

*Inn Horálek, Peter de Smet, Frank de Leeuw,
Pavel Kufjurski, Nitin Bhatnagar*

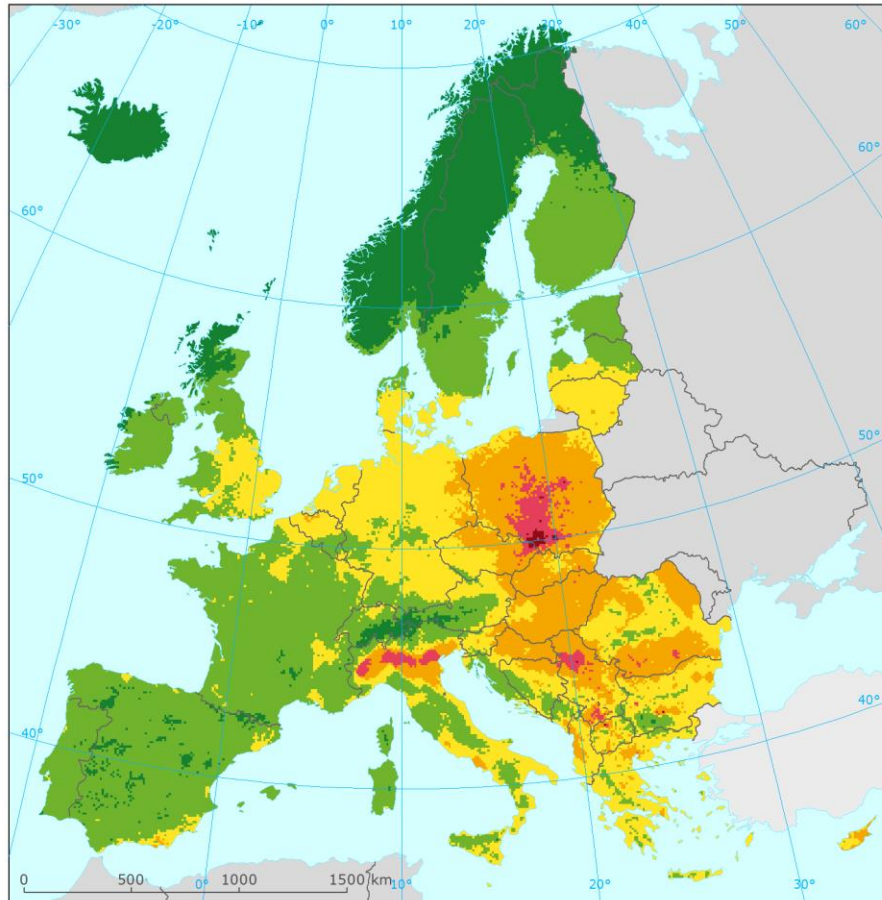


European Topic Centre
on Air Pollution and
Climate Change Mitigation

The European Topic Centre on Air Pollution and Climate Change Mitigation (ETC/ACM) is a consortium of European institutes under contract of the European Environment Agency. EEA Author: OMI (2)IC EMISA (INERIS)ILU (OC-rost)IC-OC-Rachwa PBL ULR USA-VTD 49kw

Area in exceedance – concentration maps

PM_{2.5} – annual average, 2014

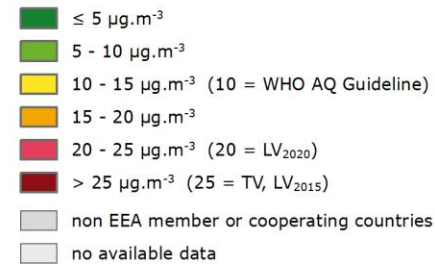


Fine Particulate Matter (PM_{2.5}) Annual Average

Reference Year: 2014

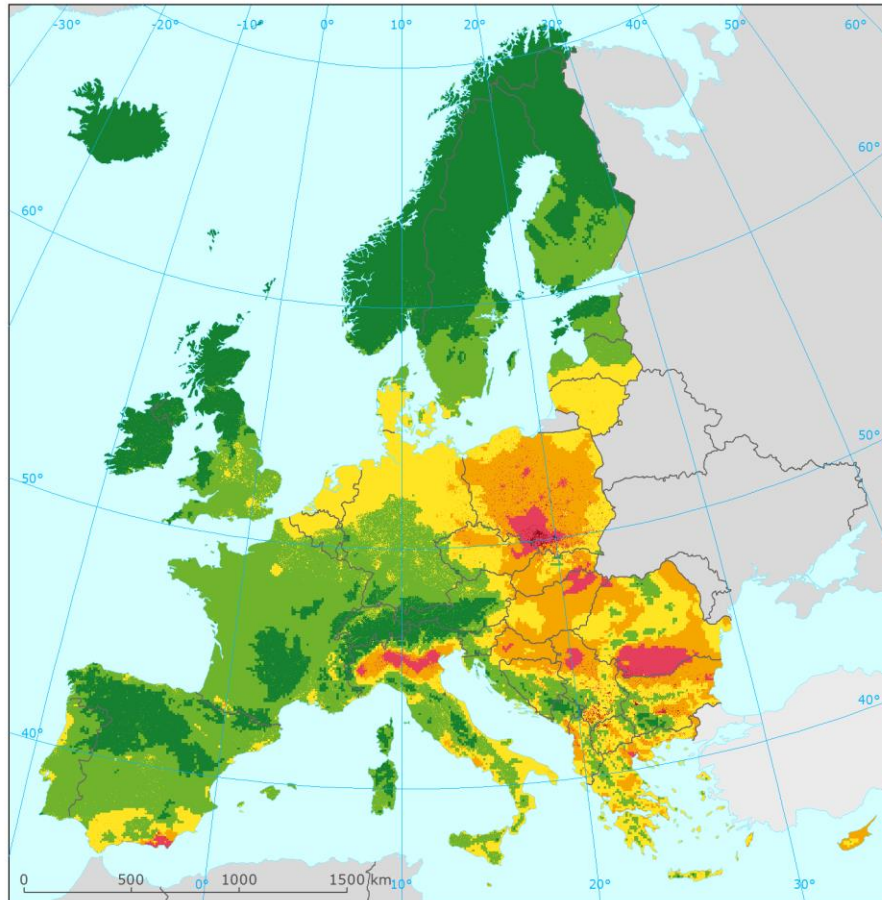
Combined Rural and Urban Background Map

Resolution: 1x1 km



Area in exceedance – concentration maps

PM₁₀ – 90.4 percentile of daily means, 2014

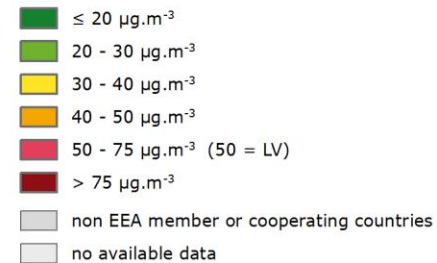


Particulate Matter (PM₁₀) 90.4 Percentile of Daily Means

Reference Year: 2014

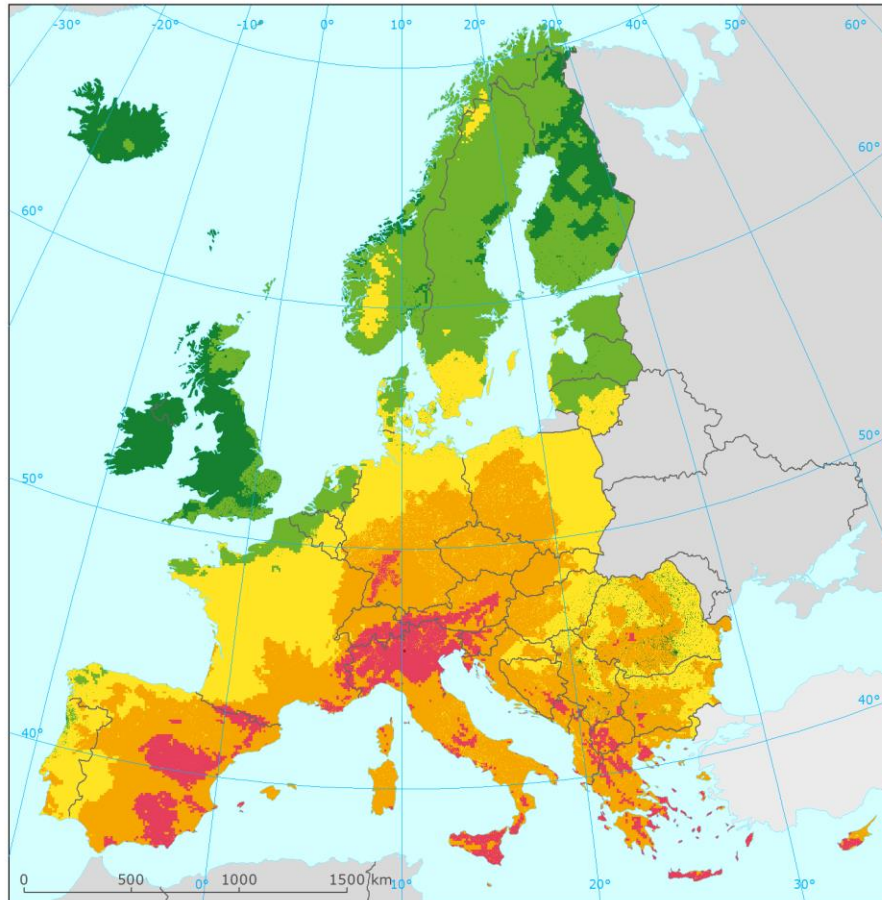
Combined Rural and Urban Background Map

Resolution: 1x1 km



Area in exceedance – concentration maps

O₃ – 93.2 percentile of max. daily 8-hour means, 2014

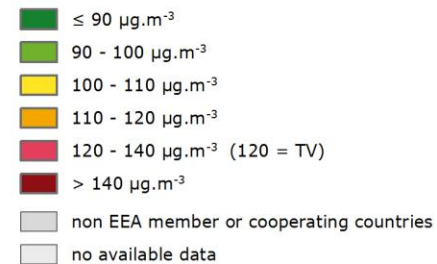


Ozone – 93.2 Percentile of Maximum Daily 8-hour Means

Reference Year: 2014

Combined Rural and Urban Background Map

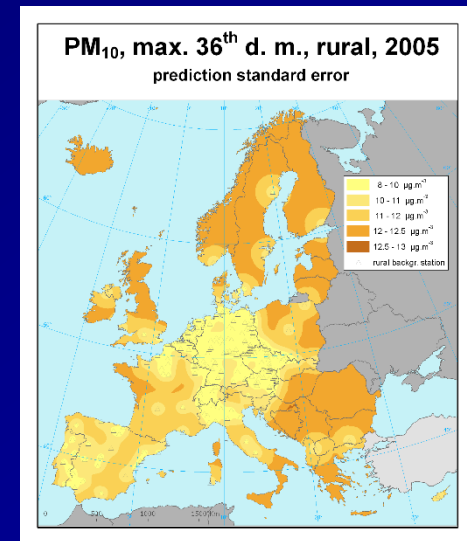
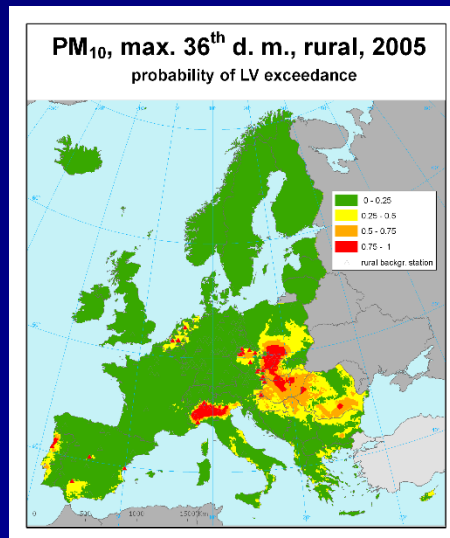
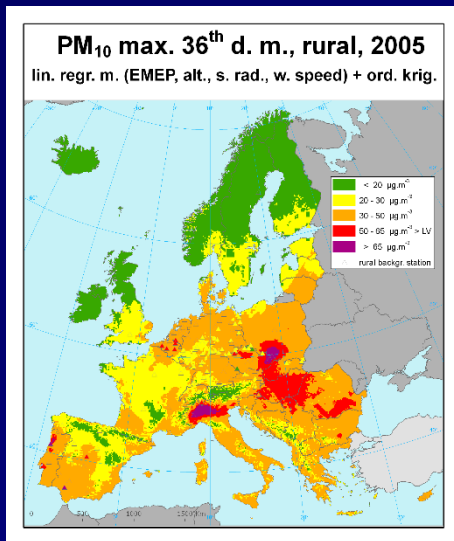
Resolution: 1x1 km



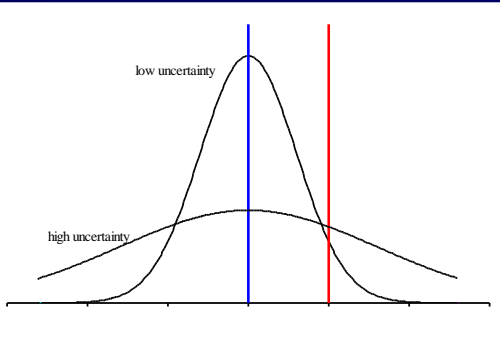
Area in exceedance – PoE maps

Probability of exceedance (PoE) maps

Based on **concentration** and **uncertainty maps** estimated based on geostatistic theory.



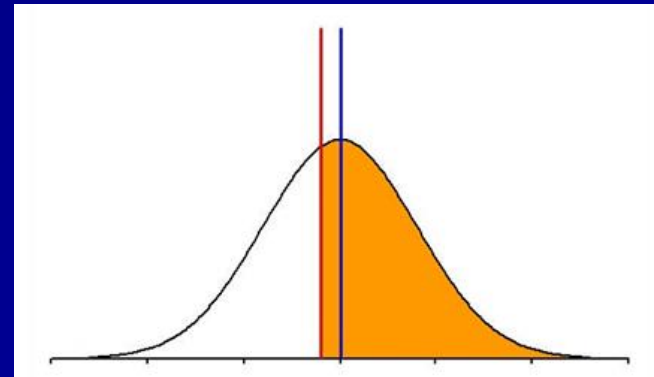
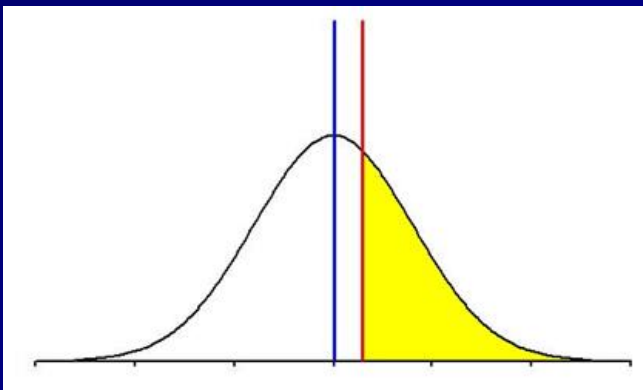
Area in exceedance – PoE maps



$$PoE(x) = 1 - \Phi\left(\frac{LV - C_c(x)}{\sigma_c(x)}\right)$$

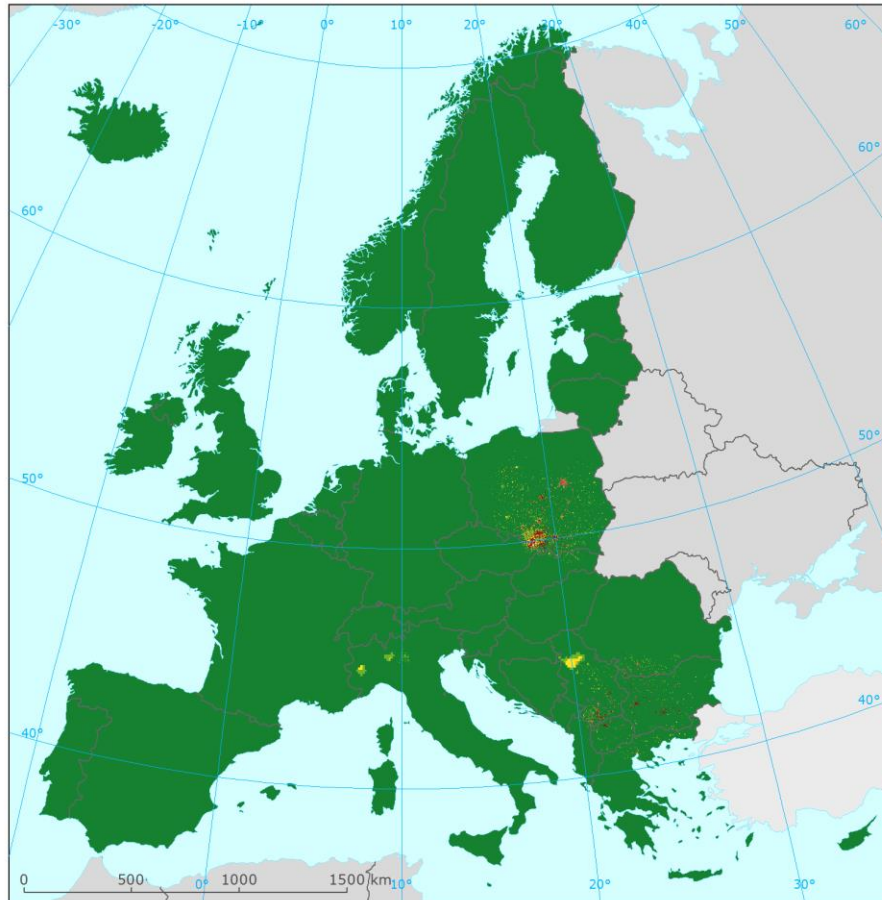
$PoE(x)$ is the probability of limit/target value (LV/TV) exceedance in the grid cell x ,
 $\Phi()$ is the cumulative distribution function of the normal distribution,
 LV is the limit or target value of the relevant indicator,
 $C_c(x)$ is the interpolated concentration in the grid cell x ,
 $\sigma_c(x)$ is the standard error of the estimation in the grid cell x .

Map class colour	Percentage probability of threshold exceedance	Degree of probability (or likelihood) of exceedance	Likelihood of exceedance	
Green	0 – 10	Little	Very unlikely	More unlikely than likely
Light green	10 – 33	Low	Unlikely	
Yellow	33 – 50	Modest	About as likely as not	
Orange	50 – 66	Moderate		More likely than not
Light red	66 – 90	Large	Likely	
Dar red	90 – 100	High	Very likely	



Area in exceedance – PoE maps

PM_{2.5} – annual average, 2014



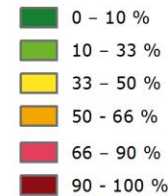
Fine Particulate Matter (PM_{2.5}) Annual Average Probability of TV Exceedance

Reference Year: 2014

Combined Rural and Urban Background Map

Target Value: 25 µg.m⁻³

Resolution: 1x1 km

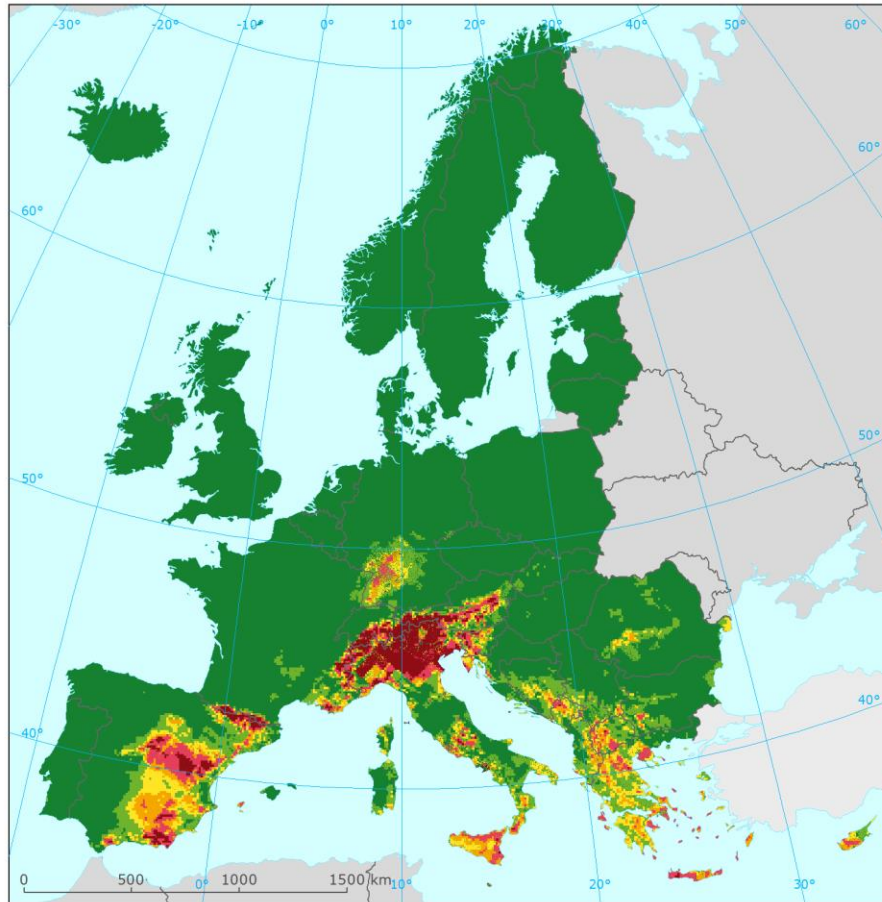


non EEA member or cooperating countries

no available data

Area in exceedance – PoE maps

O₃ – 93.2 percentile of max. daily 8-hour means, 2014



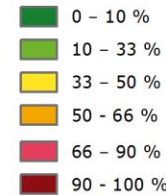
Ozone – 93.2 Percentile of Maximum Daily 8-hour Means Probability of TV Exceedance

Reference Year: 2014

Combined Rural and Urban Background Map

Target Value: 120 µg.m⁻³

Resolution: 1x1 km



non EEA member or cooperating countries

no available data

Population living in exceedance areas

PM_{2.5} annual average, 2014 exposure table

Country		Population [inhbs . 1000]	PM _{2.5} annual average, exposed population [%]						Population weighted conc. [µg.m ⁻³]
			< LV ₂₀₂₀				> LV ₂₀₂₀		
			< TV				> TV		
			< 5 µg.m ⁻³	5 - 10 µg.m ⁻³	10 - 15 µg.m ⁻³	15 - 20 µg.m ⁻³	20 - 25 µg.m ⁻³	> 25 µg.m ⁻³	
Albania	AL	2 896		0.0	24.2	72.8	2.2	0.8	16.5
Andorra	AD	73	0.5	2.7	96.7				10.0
Austria	AT	8 507	0.4	13.0	54.0	32.5			12.9
Belgium	BE	11 204		2.7	67.2	30.0			13.7
Bosnia & Herzegovina	BA	3 831		1.7	47.9	47.4	3.0		15.3
Bulgaria	BG	7 246		0.9	8.4	12.0	33.4	45.2	24.0
Croatia	HR	4 247		4.8	31.8	63.1	0.3		15.6
Cyprus	CY	858			5.0	95.0			17.0
Czech Republic	CZ	10 512		0.2	9.5	69.3	13.8	7.2	18.7
Denmark	DK	5 627	0.4	4.2	95.4				11.6
Estonia	EE	1 316		94.9	5.1				8.7
Finland	FI	5 451	1.1	98.4	0.5				7.4
France (metropolitan)	FR	63 989	0.0	31.5	67.4	1.1			11.0
Germany	DE	80 767	0.0	2.3	85.5	12.3			13.4
Greece	GR	10 927		0.4	29.1	50.6	11.9	8.0	17.0
Spain (excl. Canarias)	ES	44 397	0.1	43.6	55.1	1.2	0.0		10.7
Sweden	SE	9 645	4.0	82.0	13.9				7.6
Switzerland	CH	8 140	0.8	16.6	81.1	1.6			11.6
United Kingdom (& dep.)	UK	64 351	0.2	13.9	86.0				11.6
Total		532 738	0.4	16.4	52.8	18.6	7.7	4.2	14.1
			16.7		71.4		11.9		
EU-28		502 424	0.1	16.3	54.2	18.3	7.3	3.7	14.0
			16.4		72.5		11.0		

1. Mapping methodology

Estimation of area in exceedance

Estimation of population living in exceedance areas

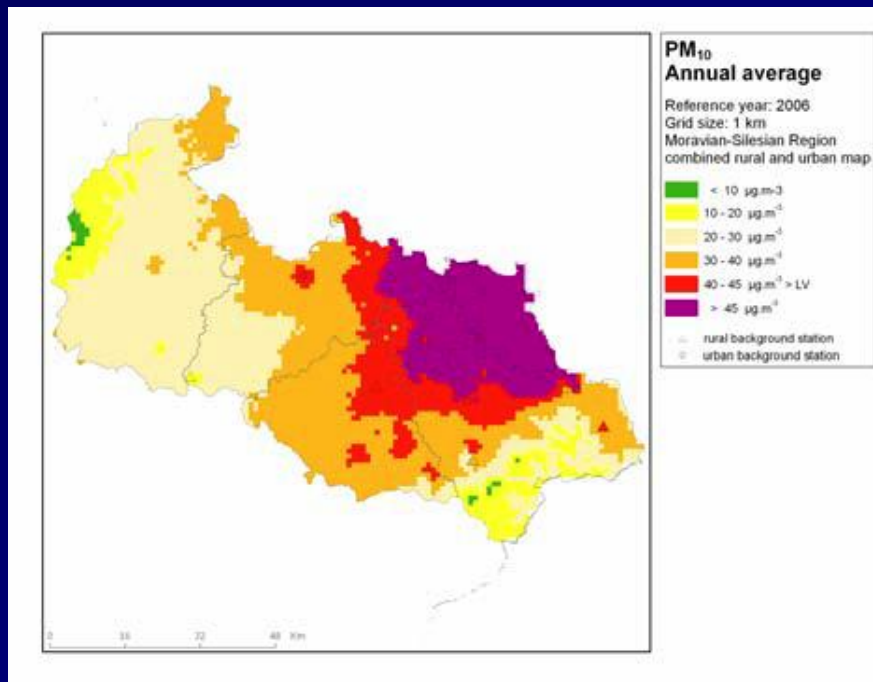
2. Influence of grid resolution

3. Case study: NO₂ improved mapping incl. traffic

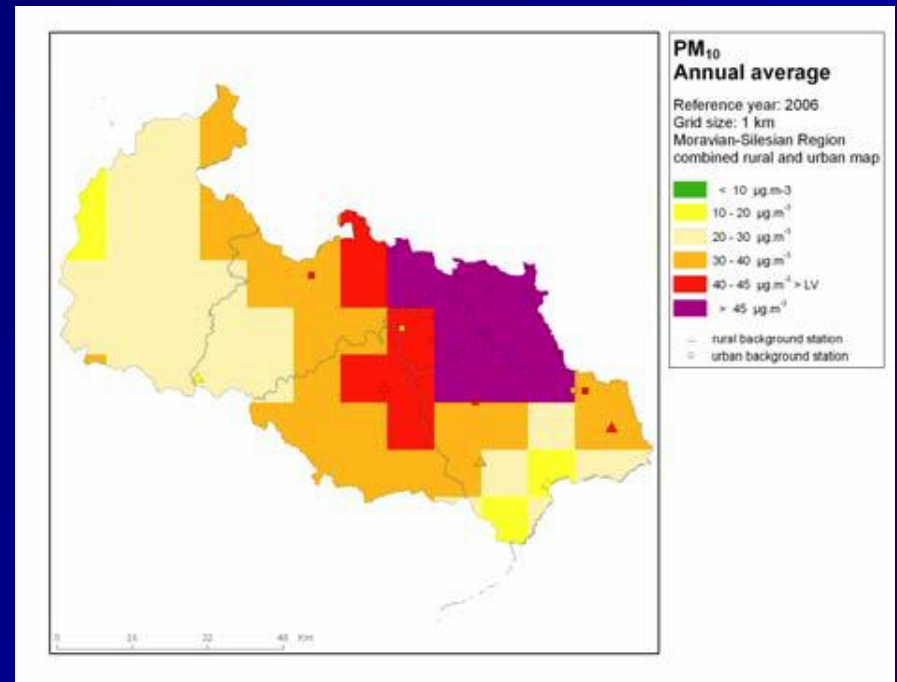
4. Conclusion and recommendation

Influence of grid resolution – concentration map

PM₁₀ – annual average, 2006, Moravian-Silesian Region, CZ



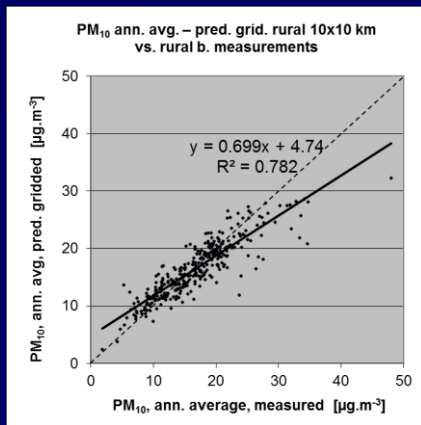
1x1 km



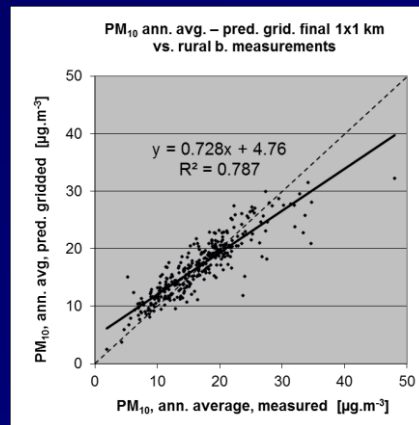
10x10 km (spatially aggregated)

Influence of grid resolution – concentration map

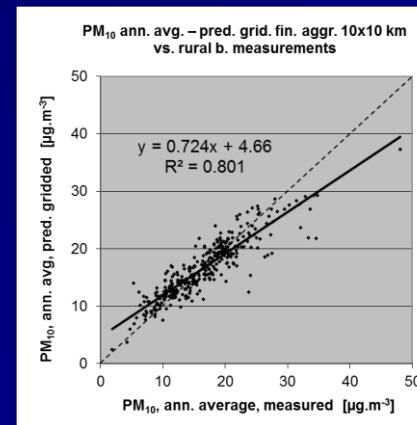
PM₁₀, annual average, 2014
simple comparison – rural areas



rural 10x10



final merged 1x1



final, aggr. 10x10

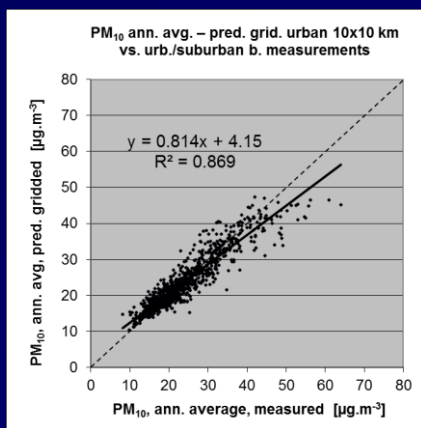
Good representation in both 1x1 km and 10x10 km maps.

PM ₁₀	rural backgr. stations			
	RMSE	bias	R ²	lin. r. equation
Annual average				
cross-valid. prediction, separate (r or ub) map	3.5	0.1	0.682	$y = 0.666x + 5.71$
grid prediction, 10x10 km separate (r or ub) map	2.9	-0.3	0.782	$y = 0.699x + 4.74$
grid prediction, 1x1 km final combined map	2.9	0.2	0.787	$y = 0.728x + 4.76$
grid prediction, aggr. 10x10 km final comb. map	2.8	0.0	0.801	$y = 0.724x + 4.66$

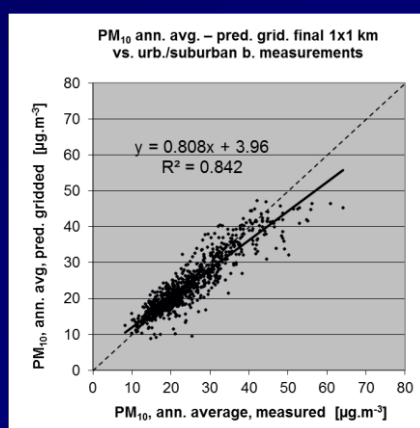
Influence of grid resolution – concentration map

PM₁₀, annual average, 2014

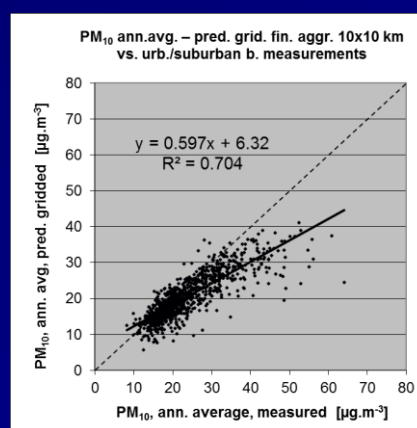
simple comparison – urban background areas



rural 10x10



final merged 1x1



final, agr. 10x10

Good representation in 1x1 km map, but not in 10x10 km map (bias, RMSE, R²).

PM ₁₀	urban/suburban backgr. stations			
	RMSE	bias	R ²	lin r. equation
Annual average				
cross-valid. prediction, separate (r or ub) map	4.2	0.0	0.757	y = 0.761x + 5.60
grid prediction, 10x10 km separate (r or ub) map	3.1	-0.2	0.869	y = 0.814x + 4.15
grid prediction, 1x1 km final combined map	3.4	-0.6	0.842	y = 0.808x + 3.96
grid prediction, agr. 10x10 km final comb. map	5.7	-3.2	0.704	y = 0.597x + 6.32

Influence of grid resolution – PoE map

The map shows the probability that the spatial average of the relevant grid (e.g. 10x10 km or 1x1 km) exceeds the limit value.

10x10 km resolution map gives a realistic result for rural background areas only. (Not for urban areas.)

1x1 km resolution map gives a realistic result for both rural and urban background areas. (Not for hotspots.)

Influence of grid resolution – exposure table

PM₁₀, annual average, 2006 – population weighted concentration

Country	PM ₁₀ – annual average			PM ₁₀ – 36 th highest		
	C.	D.	F.	C.	D.	F.
	10 - 1 - 1	1 - 1 - 1	aggr.D-10	10 - 1 - 1	1 - 1 - 1	aggr.D-10
Albania	31.8	31.8	27.5	54.0	53.5	46.1
Andorra	22.5	21.6	10.6	35.7	34.9	18.7
Austria	26.0	26.1	22.9	47.1	47.3	41.7
Belgium	31.3	31.2	30.1	51.3	51.2	50.0
Bosnia-Herzeg.	33.1	33.1	29.2	57.4	57.6	50.5
Bulgaria	41.6	41.8	31.9	74.2	74.5	55.2
Croatia	31.5	31.6	29.2	53.7	53.8	49.2
Cyprus	35.4	35.9	33.1	58.2	57.8	50.4
Czech Republic	33.5	33.5	30.6	57.5	57.7	53.2
Denmark	23.5	23.6	21.5	37.0	37.5	34.2
Estonia	19.7	19.7	17.4	34.1	34.4	30.6
Finland	17.0	16.6	16.0	29.5	29.5	28.4
France	20.4	20.3	19.6	32.9	32.7	31.7
Germany	24.2	24.3	22.6	41.3	41.5	38.8
Greece	33.6	33.6	29.8	54.3	54.0	47.8
Slovenia	29.0	29.2	25.9	49.2	49.4	43.4
Spain	31.4	31.6	24.2	49.3	49.6	39.3
Sweden	19.0	18.8	16.7	32.0	32.2	28.2
Switzerland	23.2	23.2	20.6	43.9	43.8	39.3
United Kingdom	23.2	23.3	20.8	35.5	35.7	32.1
Total	28.5	28.6	25.5	47.8	47.9	42.9

1. Mapping methodology

Estimation of area in exceedance

Estimation of population living in exceedance areas

2. Influence of grid resolution

3. Case study: NO₂ improved mapping incl. traffic

4. Conclusion and recommendation

Case study: Improved NO₂ mapping

Detailed analysis presented in ***ETC/ACM Technical Paper 2016/12 „Inclusion of LC and traffic data in NO₂ mapping“***

Inclusion of land cover and road data in NO₂ background mapping.

Creation of traffic layer map, based on traffic stations.

Subsequently, inclusion of this traffic map layer in NO₂ map and exposure estimate.

Inclusion of land cover and traffic data
in NO₂ mapping methodology



ETC/ACM Technical Paper 2016/12
March 2017

Jan Horálek, Peter de Smet, Philipp Schneider,
Pavel Kurfürst, Frank de Leeuw



The European Topic Centre on Air Pollution and Climate Change Mitigation (ETC/ACM) is a consortium of European institutes under contract of the European Environment Agency
BWM Aether/CHMI/CIIC/EMSA/INERIS/NILU/ODJ-Institut/OCIO-Recherche/PBL/UBA/VITO/45Inera

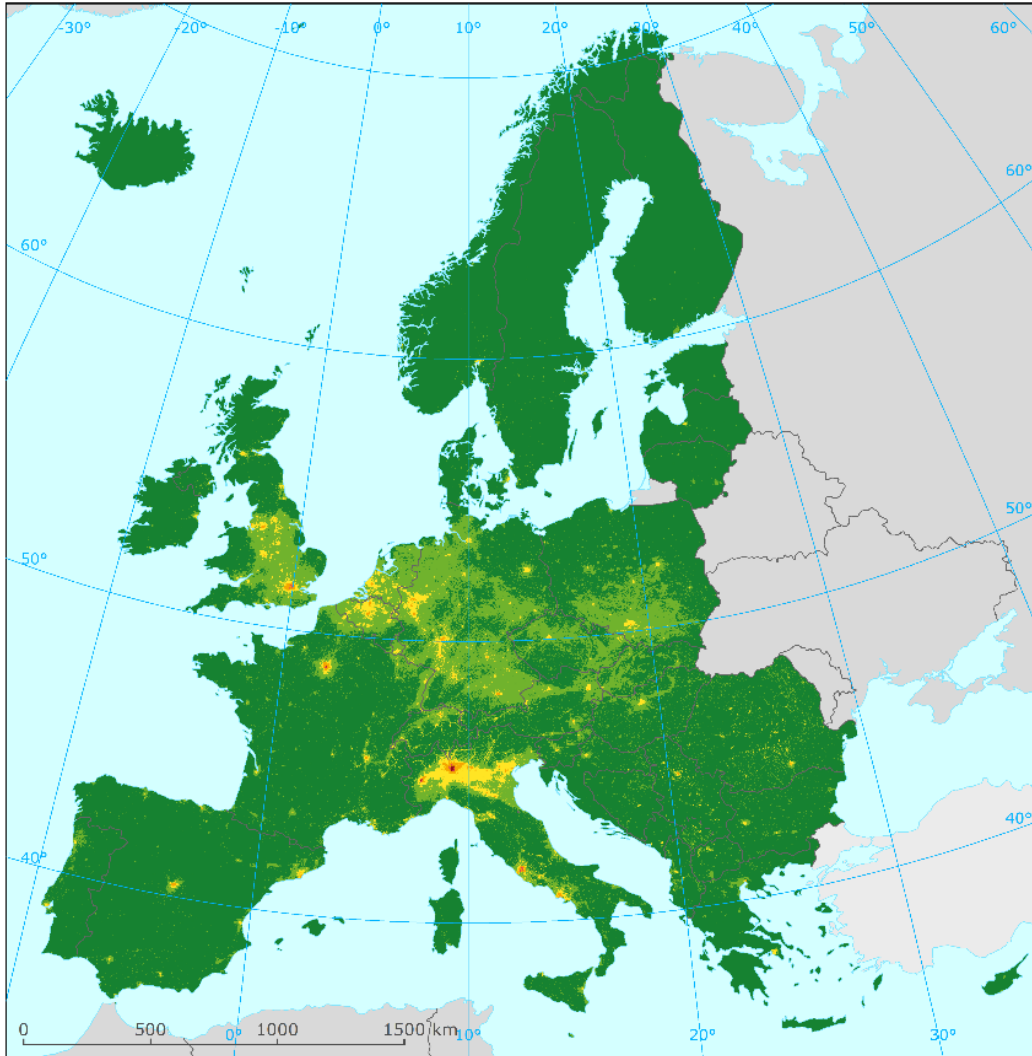
NO₂ annual average, 2013 – rural and urban background map layers improvement by land cover data inclusion

Inclusion of LC in LRM: 8 general CLC classes, with different radius. Together with other data – input to stepwise regression. For best variants: **spatial interpolation** of residuals, **1x1 km resolution**. Different variants compared by cross-validation.

spatial interpolation variant + supplementary data used		rural areas				
		RMSE	RRMSE	bias	R ²	regr. eq.
(i)	current (EMEP, wind speed; 10x10 km)	3.4	35.8%	0.1	0.699	y = 0.677x + 3.2
(ii)	current 1km (EMEP, wind speed; 1x1 km)	3.4	35.2%	0.1	0.681	y = 0.694x + 2.0
(iii)	impr. current 1km (EMEP, altitude, wind speed; 1x1 km)	3.2	33.8%	0.1	0.706	y = 0.725x + 2.7
(iv)	including LC (EMEP, altitude, w. sp., land cover; 1x1 km)	2.8	29.2%	0.1	0.782	y = 0.810x + 1.9
(v)	without CTM (alt., w.sp., s.s. rad., temp., pop., LC; 1x1 km)	3.3	34.6%	0.2	0.698	y = 0.760x + 2.5
spatial interpolation variant + supplementary data used		urban background areas				
		RMSE	RRMSE	bias	R ²	regr. eq.
(i)	current (EMEP, wind speed; 10x10 km)	5.1	23.9%	0.0	0.557	y = 0.572x + 9.2
(ii)	current 1km (EMEP, wind speed; 1x1 km)	5.1	23.6%	0.0	0.568	y = 0.586x + 8.9
(iii)	impr. current 1km (EMEP, wind speed, population; 1x1 km)	4.8	22.7%	0.0	0.603	y = 0.624x + 8.1
(iv)	including LC (EMEP, alt., w. sp., pop., road, LC; 1x1 km)	4.6	21.3%	0.0	0.645	y = 0.670x + 7.1
(v)	without CTM (alt., w.sp., pop., road, land cover; 1x1 km)	4.7	22.1%	0.0	0.624	y = 0.659x + 7.4

Inclusion of land cover brings clear map improvement.

NO₂ annual avg., 2013 – rural and urban background map



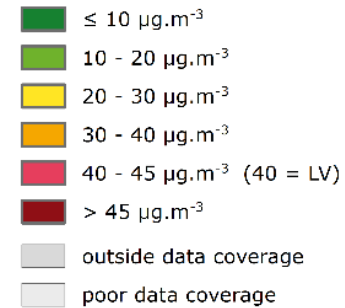
Nitrogen Dioxide (NO₂) Annual Average

Reference Year: 2013

Combined Rural and Urban Background Map

Method: Including Land Cover and Road Data

Resolution: 1x1 km



NO₂ annual average, 2013 – traffic map layer creation

Based on 855 urban traffic stations. (Rural traffic stations not considered, due to their small number, i.e. 19). Supplementary data – 37 variables (EMEP model, altitude, meteo, LC, ...): input to **linear regression model** analysis (stepwise regression + backwards elimination). 4 variants selected for further analysis.

linear regr. model + OK of its residuals	(ii) current 1k	(iii) altern. curr.	(iv) incl. LC	(v) without CTM
	urban traffic	urban traffic	urban traffic	urban traffic
	coeff.	coeff.	coeff.	coeff.
c (constant)	42.58	36.16	27.39	-109.71
a1 (EMEP model)	0.654	0.685	0.550	
a2 (GTOPO_1km)		-0.0172	-0.0254	-0.0204
a3 (GTOPO_5km_rad)		0.0168	0.0213	0.0176
a4 (wind speed)	-1.278		-1.529	1.628
a5 (s. solar radiation)	-1.100	-1.019		-3.414
a6 (temperature)				-1.550
a7 (relative humidity)				1.206
a8 (population 5km rad)				0.000017
a8 (T2buf75m_1km)			7.263	
a10 (LDR_5km_rad)			0.0208	0.0248
adjusted R²	0.33	0.33	0.36	0.31
st. err. [µg.m⁻³]	10.80	10.80	10.53	10.93

NO₂ annual average, 2013 – traffic map layer creation

Spatial interpolation, 1x1 km resolution

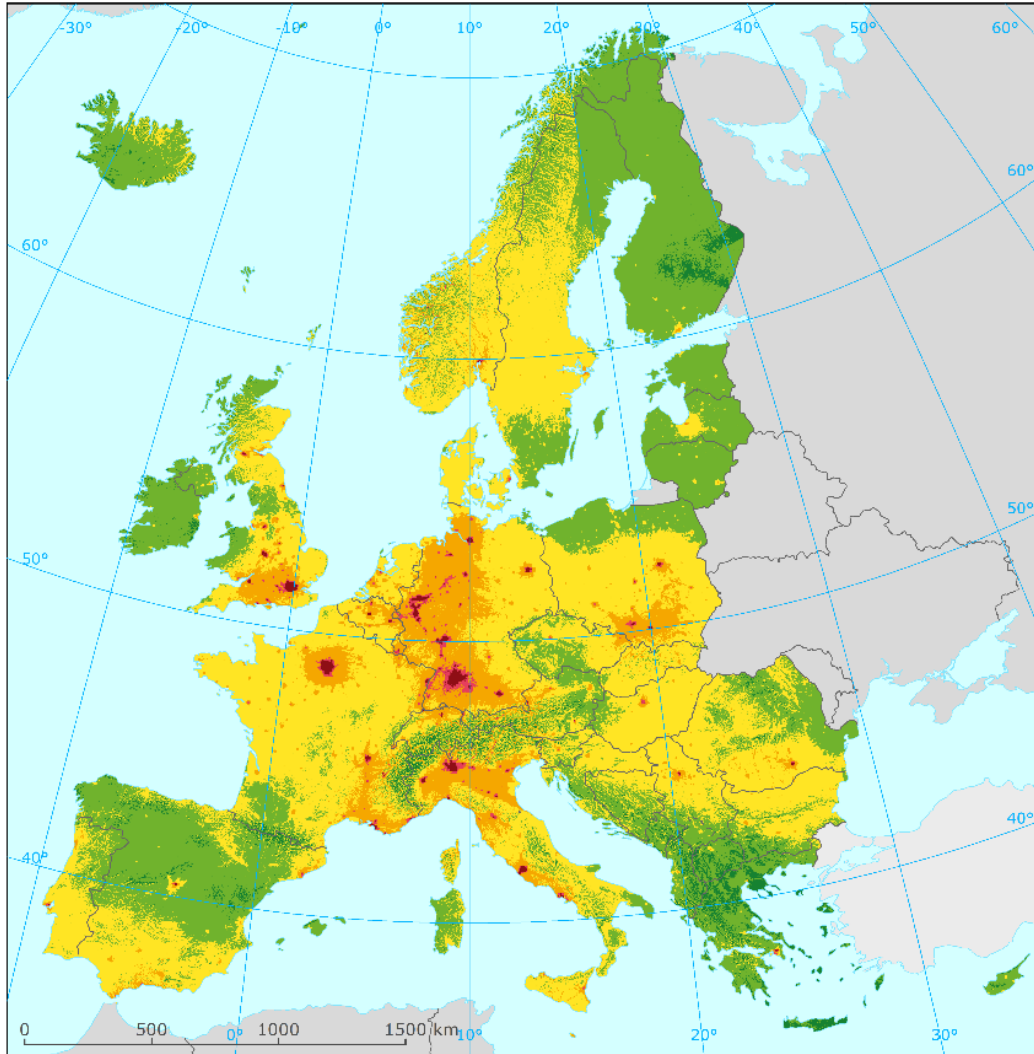
Different LRM variants, comparison based on cross-validation.

spatial interpolation variant + supplementary data used	urban traffic areas				
	RMSE	RRMSE	bias	R ²	regr. eq.
(ii) current 1km (EMEP, wind speed, s. solar radiation; 1x1 km)	9.5	25.1%	0.0	0.470	y = 0.485x + 19.6
(iii) alternative current (EMEP, alt., s. solar rad.; 1x1 km)	9.5	25.1%	0.1	0.470	y = 0.483x + 19.7
(iv) including LC (EMEP, alt., w. sp., road data, LC; 1x1 km)	9.2	24.3%	0.1	0.505	y = 0.529x + 17.9
(v) without CTM (alt., w.sp., s.s.r., r.h., temp., pop., LC; 1x1 km)	9.2	24.3%	0.1	0.504	y = 0.534x + 17.7

Different variants give quite similar results.

Based on RRMSE (24%) and R² of cross-validation scatterplot (0.51): estimates of urban traffic air quality is reasonable.

NO₂ annual average, 2013 – urban traffic map layer



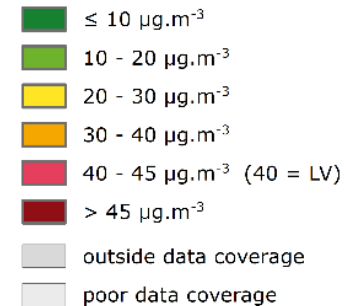
Nitrogen Dioxide (NO₂) Annual Average

Reference Year: 2013

Map of Traffic Air Quality

Applicable for Urban Traffic Areas Only

Resolution: 1x1 km



Map can be
applied for urban
traffic areas only.

$$\hat{Z}_U(s_0) = (1 - w_T(s_0)) \cdot \hat{Z}_{UB}(s_0) + w_T(s_0) \cdot \hat{Z}_T(s_0)$$

Case study: Improved NO₂ mapping - continuation

Inclusion of traffic map layer in background map

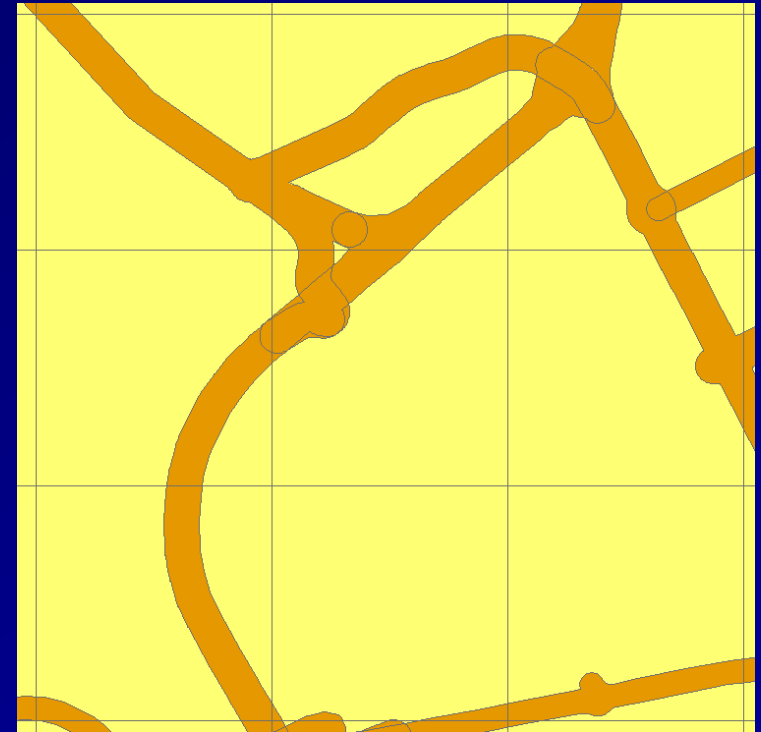
Inclusion in urban map layer:

$$\hat{Z}_U(s_0) = (1 - w_T(s_0)) \cdot \hat{Z}_{UB}(s_0) + w_T(s_0) \cdot \hat{Z}_T(s_0)$$

Weight: based on buffer around the streets/roads (GRIP database, source: PBL).

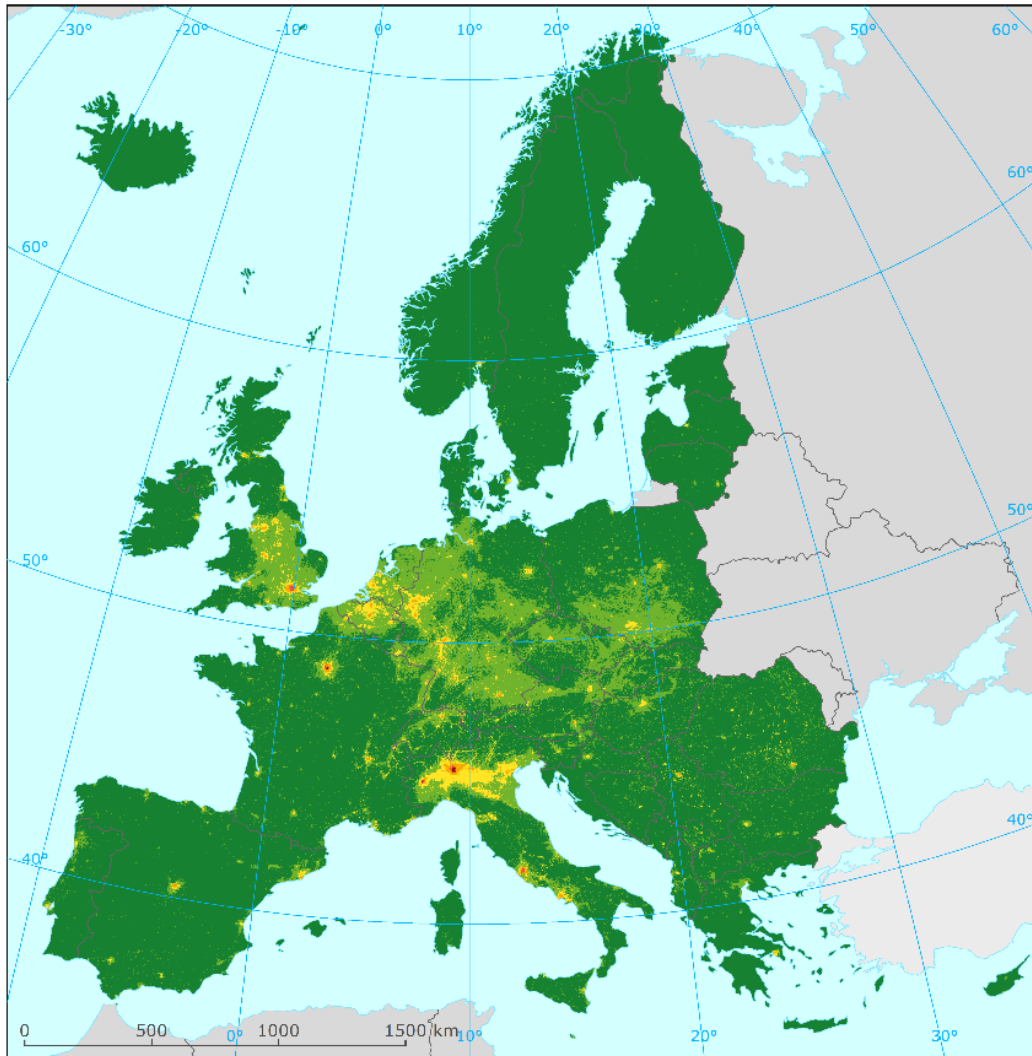
Buffer: tentative. 75 meters around roads of class 1 and 2, 50 meters around roads of class 3. (Should be refined.)

$$w_T(i) = T123buf_1km(i) / 2$$



GRIP type class number	Class description
1	Highways
2	Primary roads
3	Secondary roads
4	Tertiary roads
5	Local, residential, urban roads

NO₂ annual average, 2013 – final merged map, 1x1 km



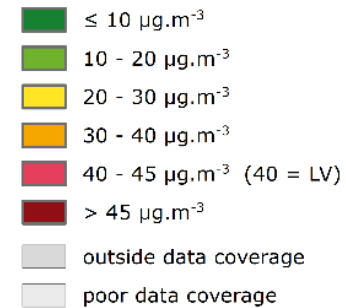
Nitrogen Dioxide (NO₂) Annual Average

Reference Year: 2013

Combined Rural and Urban (incl. Traffic) Map

Method: Including Land Cover and Traffic Layer

Resolution: 1x1 km



NO₂ annual average, 2013 – difference „final – background“



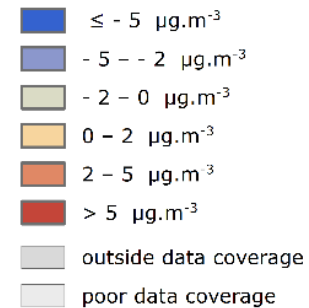
Nitrogen Dioxide (NO₂) Annual Average Difference Map

Reference Year: 2013

Difference: Method Including LC and Traffic Layer

- Method Including Land Cover

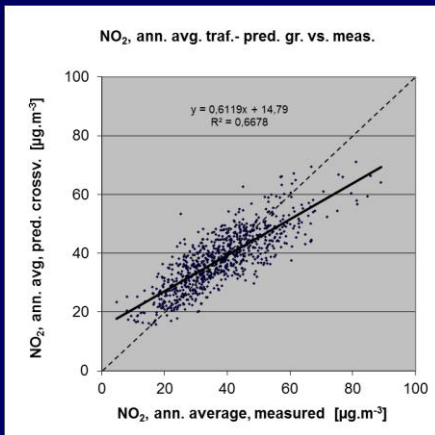
Map Resolution: 1x1 km



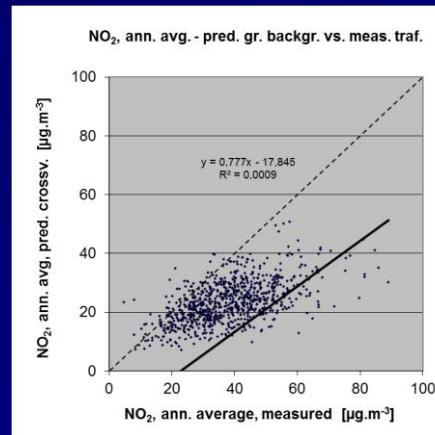
Case study: Improved NO₂ mapping – continuation

NO₂, annual average, 2013 – urban traffic areas

simple comparison – **map layers vs. traffic stations**



traffic map layer



background map layer

Bad representation of traffic areas in urban background layer.

NO ₂	urban traffic stations			
	RMSE	bias	R ²	lin. r. equation
Annual average				
grid prediction, 1x1 km background map	7,6	-14,5	non significant	
grid prediction, 1x1 km urban traffic map layer	18,0	0,0	0,67	y = 0.612x + 14.8

Case study: Improved NO₂ mapping – continuation

Inclusion of traffic map layer in exposure estimate

$$\hat{c} = \frac{\sum_{i=1}^N c_{Bi} (1 - w_U(i)w_T(i))p_i + \sum_{i=1}^N c_{Ti} w_U(i)w_T(i)p_i}{\sum_{i=1}^N p_i}$$

Weight: based on a buffer around the roads (GRIP database, source: PBL), similar as for map creation.

However: We go inside 1x1 km grid in the population exposure estimate.

Case study: Improved NO₂ mapping – continuation

NO₂ annual average 2013 – exposure estimate, comparison of estimate based on background (top) and final merged map (i.e. including traffic layer)

Country	NO ₂ annual average, exposed population [%]						Population weighted conc. [µg.m ⁻³]
	< LV				> LV		
	< 10 µg.m ⁻³	10 - 20 µg.m ⁻³	20 - 30 µg.m ⁻³	30 - 40 µg.m ⁻³	40 - 45 µg.m ⁻³	> 45 µg.m ⁻³	
Total - based on background map	13,9	46,9	30,4	7,2	1,1	0,4	18,6
	98,5				1,5		
Total - based on final merged map, including traffic layer	13,7	44,8	29,8	8,5	1,6	1,6	19,4
	96,8				3,2		

Difference of population in exceedance: cc. 1.7% of European population.

1. Mapping methodology

Estimation of area in exceedance

Estimation of population living in exceedance areas

2. Influence of grid resolution

3. Case study: NO₂ improved mapping incl. traffic

4. Conclusion and recommendation

Conclusions

Grid resolution is of a great importance.

Rural map: 10x10 km resolution satisfactory.

Urban background map: 1x1 km resolution satisfactory.

Map taking in account traffic AQ: going inside 1x1 km resolution is needed.

Thank you for your attention.